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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/995,736	11/29/2001	Zakya H. Kafafi	N.C. 82,530	1869

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EXAMINER

ART UNIT

PAPER NUMBER

DATE MAILED: 09/09/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Notification of Non-Compliance With 37 CFR 1.192(c)	Application No. 09/995,736	Applicant(s) KAFABI ET AL.	
	Examiner Elizabeth Keaney	Art Unit 2882	

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

The Appeal Brief filed on 14 June 2004 is defective for failure to comply with one or more provisions of 37 CFR 1.192(c). See MPEP § 1206.

To avoid dismissal of the appeal, applicant must file IN TRIPLICATE a complete new brief in compliance with 37 CFR 1.192(c) within the longest of any of the following three **TIME PERIODS**: (1) **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer; (2) **TWO MONTHS** from the date of the notice of appeal; or (3) within the period for reply to the action from which this appeal was taken. **EXTENSIONS OF THESE TIME PERIODS MAY BE GRANTED UNDER 37 CFR 1.136.**

1. ☐ The brief does not contain the items required under 37 CFR 1.192(c), or the items are not under the proper heading or in the proper order.
2. ☒ The brief does not contain a statement of the status of all claims, pending or cancelled, or does not identify the appealed claims (37 CFR 1.192(c)(3)).
3. ☒ At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 1.192(c)(4)).
4. ☒ The brief does not contain a concise explanation of the claimed invention, referring to the specification by page and line number and to the drawing, if any, by reference characters (37 CFR 1.192(c)(5)).
5. ☒ The brief does not contain a concise statement of the issues presented for review (37 CFR 1.192(c)(6)).
6. ☐ A single ground of rejection has been applied to two or more claims in this application, and
 - (a) ☐ the brief omits the statement required by 37 CFR 1.192(c)(7) that one or more claims do not stand or fall together, yet presents arguments in support thereof in the argument section of the brief.
 - (b) ☐ the brief includes the statement required by 37 CFR 1.192(c)(7) that one or more claims do not stand or fall together, yet does not present arguments in support thereof in the argument section of the brief.
7. ☐ The brief does not present an argument under a separate heading for each issue on appeal (37 CFR 1.192(c)(8)).
8. ☒ The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 1.192(c)(9)).
9. ☐ Other (including any explanation in support of the above items):

See Continuation Sheet


EDWARD J. GLICK
 SUPERVISORY PATENT EXAMINER

Continuation of 9. Other (including any explanation in support of the above items):

Re Item 2:

No claims have been indicated as allowable as stated in this section. Further the status of claims 27,28,40,41 and 50-55 have not been addressed.

Re Item 3:

Appellant's statement is not limited to only the status of the after final amendment (i.e. entered, entered in part, not entered).

Re Item 4:

This section requires a concise explanation of the invention defined in the claims involved in the appeal. This explanation includes features not claimed, including: BMB-2T can be used as a blue emitting material without any dopant, the universal host is a material that is either transparent in the visible region or may be emissive in the blue region when used additionally as the blue emitting species and/or possesses carrier properties. Further, this explanation fails to address limitations required by the claims (i.e. hole transporting layer, electron transporting layer, etc).

Re Item 5:

This section requires concise statements of the issues presented for review. This explanation includes issues regarding claim objections which are petitionable rather than appealable (i.e.: Brief page 3, items a,d,e,f,h,j and k).

Re Item 8:

This section requires a correct copy of the appealed claims. The Appendix includes an incorrect copy of the claims. Claims presented in the Appendix include substantial errors, for example claim 1 removes a comma in line 7 and introduces a new limitation of "said universal host" in line 8. Further, the Appendix improperly presents objected dependent claims in independent form (i.e. claims 4,6,8,9,19,23,24,32,36,37,44,46,48 and 49)

Note: The above sections are required by 37 CFR 1.192 (c) which remains effective until 12 September 2004. Any brief filed after 12 September 2004 should comply with new rule 37 CFR 41.